

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Jordi Alborno, et al.	:	Date: January 15, 2004
Group Art Unit:	Unassigned	:	IBM Corporation
Examiner:	Unassigned	:	Intellectual Property Law
Serial No.:	Unassigned	:	Dept. 917, Bldg. 006-1
Filed:	Herewith	:	3605 Highway 52 North
Title:	DEALING WITH ANNOTATION VERSIONING THROUGH MULTIPLE VERSIONING POLICIES AND MANAGEMENT THEREOF	:	Rochester, MN 55901-7829

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir: <sup>سرك</sup>

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No.: Unassigned  
Docket No.: ROC920030291US1

PATENT

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(1) within three months of the filing date of the present application. Accordingly no filing fee is required.

Date: January 15, 2004

Respectfully submitted,

By William J. McGinnis  
William J. McGinnis, Counsel  
Registration No. 25,698

Telephone: (507) 253-5331  
Fax: (507) 253-2382

PTO/SB/08A

Application Number.: Unassigned  
 Filing Date: Jan. 15, 2004  
 First Named Inventor: J. Albornoz  
 Art Unit: Unassigned  
 Examiner Name: Unassigned  
 Attorney Docket Number.: ROC920030291US1

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**
Sheet 1 of 2
**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	<u>Document Number</u> Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		US - 6,519,603 B1	02-11-2003	Bays, et al.	
		US -			
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**FOREIGN PATENT DOCUMENTS**

Examine r Initials	Cite No. <sup>1</sup>	<u>Foreign Patent Document</u> Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T <sup>6</sup>

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

PTO/SB/08A

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 2 of 2

Application Number.: Unassigned  
 Filing Date: Jan. 15, 2004  
 First Named Inventor: J. Albornoz  
 Art Unit: Unassigned  
 Examiner Name: Unassigned  
 Attorney Docket Number.: ROC920030291US1

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and or country where published.	T <sup>2</sup>
		Dettinger et al., IBM Patent Application Serial No. 10/083,075 (ROC920020044US1), filed 02/26/2002, "Application Portability and Extensibility Through Database Schema and Query Abstraction".	
		Chatterjee et al., IBM Patent Application Serial No. 10/600,014 (ROC920030209US1), filed 06/20/2003, "Universal Annotation Management System".	
		Cragun et al, IBM Patent Application Serial No. 10/600,382 (ROC920030127US1), filed 06/20/2003, "Heterogeneous Multi-Level Extendable Indexing for General Purpose Annotation Systems"	

Examiner Signature		Date Considered	
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